

B. Screening Questions for Resettlement Categorization

Probable Involuntary Resettlement Effects*	Yes	No	Not Known	Possible	Remarks
Will the project include any physical construction work?					
Does the project include upgrading or rehabilitation of existing physical facilities?					
Are any project effects likely to lead to loss of housing, other assets, resource use or incomes/livelihoods?					
Is land acquisition likely to be necessary?					
Is the site for land acquisition known?					
Is the ownership status and current usage of the land known?					
Will easements be utilized within an existing Right of Way?					
Are there any non-titled people who live or earn their livelihood at the site or within the Right of Way?					
Will there be loss of housing?					
Will there be loss of agricultural plots?					
Will there be losses of crops, trees, and fixed assets?					
Will there be loss of businesses or enterprises?					
Will there be loss of incomes and livelihoods?					
Will people lose access to facilities, services, or natural resources?					
Will any social or economic activities be affected by land use-related changes?					
If involuntary resettlement impacts are expected:					
<ul style="list-style-type: none"> • Are local laws and regulations compatible with ADB's Involuntary Resettlement policy? 					
<ul style="list-style-type: none"> • Will coordination between government agencies be required to deal with land acquisition? 					
<ul style="list-style-type: none"> • Are there sufficient skilled staff in the Executing Agency for resettlement planning and implementation? 					
<ul style="list-style-type: none"> • Are training and capacity-building interventions required prior to resettlement planning and implementation? 					

*Whenever possible, consider also any future subprojects or investments.

Information on Affected Persons:

Any estimate of the likely number of households that will be affected by the Project?

No Yes If yes, approximately how many? _____

Are any of them poor, female-heads of households, or vulnerable to poverty risks?

No Yes If yes, please briefly describe their situation _____

Are any APs from indigenous or ethnic minority groups? If yes, please explain? _____

Additional Information Requirements for Private Sector projects:

- | | |
|--|--|
| <input type="checkbox"/> Resettlement and land acquisition completed | <input type="checkbox"/> PSOD is lending to a Financial Intermediary |
| <input type="checkbox"/> Resettlement to be completed | <input type="checkbox"/> The project is an Equity Investment |
| <input type="checkbox"/> Project entails risk by association (e.g associated facilities are part of the project but not funded by the proponent) | <input type="checkbox"/> The project is a Partial Credit /Political Risk Guarantee |
| | <input type="checkbox"/> Others, please describe _____ |

E. Involuntary Resettlement Category New Recategorization

After reviewing the answers above, the Project Team Leader and Social Development/ Resettlement Specialist agree subject to confirmation, that the project:

1. Project Categorization and Resettlement Planning Requirements

- Category A, Significant IR impact, a full Resettlement Plan is required.
- Category B, Nonsignificant IR impact, a short Resettlement Plan is required.
- Category C, No IR impact, no resettlement report is required.
- Additional information is needed for categorization and is to be gathered by the Project Team Leader. In the interim, the project is classified as:
 - Category A/B
 - Category B/C

- Social Development/ Resettlement Specialist to participate in Fact Finding
- Consultant support is required to prepare Resettlement Plan/Resettlement Framework (RP/RF), therefore the TOR for a Social Development/ Resettlement Specialist should be included in TA Report

2. Additional Requirements for Sector, Sector Development Program/Project Loans, Emergency Loans and Hybrid Loans

- Resettlement Framework
- Core Subproject Resettlement Plans

Note:

A draft RP/RF disclosed to APs and endorsed by the Executing Agency is required before Management Review Meeting (MRM).

A summary RP/RF should be included as a core appendix in the draft RRP for MRM.

A satisfactory RF/RP is required before Appraisal.

Proposed by:

_____	_____	_____	_____
Project Team Leader	Date	RD Resettlement/ Social Dev't Specialist	Date

Checked by:

Endorsed by:

_____	_____	_____	_____
RSES Social Dev't Safeguard Specialist	Date	Director, RSES	Date

Approved by:

_____	_____
Chief Compliance Officer	Date